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KEY POINTS

• All workers have the legal right not to be discriminated against, harassed, sexually harassed or bullied in the workplace. Workplaces should be non-threatening, respectful, safe and free from all forms of harassment.

• This code of practice is a best practice guide for employers in the live performance industry. It is a voluntary industry code of practice. However, compliance is mandatory for Live Performance Australia (LPA) Members.

• In addition to the obligations contained in legislation addressing discrimination, harassment, sexual harassment and bullying, under Work Health and Safety (WHS) laws, all employers have a duty to protect the health (both physical and psychological), safety and welfare of all workers at work and of other people who might be affected by the work. WHS laws require a proactive and preventative approach to managing health and safety risks in the workplace.

• Employers, no matter how big or small, can be held legally responsible (i.e. vicariously liable) for acts of discrimination, harassment, sexual harassment or bullying that occur in the workplace or in connection with a person’s employment.

• To minimise the risk of liability, employers need to demonstrate that they have:
  – Taken all reasonable steps to prevent discrimination, harassment, sexual harassment and bullying from occurring in their workplaces; and
  – Responded appropriately to resolve incidents of discrimination, harassment, sexual harassment and bullying.

• What constitutes reasonable steps will be different for each organisation, with factors such as the size and resources of an organisation, the work performed and the culture of the workplace being taken into account.

• Reasonable steps to effectively prevent and respond to workplace discrimination, harassment, sexual harassment and bullying may include, but are not limited to:
  – Developing clear workplace policies and procedures on discrimination, harassment, sexual harassment and bullying, including a complaint handling and investigation procedure and making workers aware of these policies and procedures;
  – Regularly promoting, communicating and reviewing relevant workplace policies; and
  – Taking a proactive approach to creating and maintaining a positive, inclusive and respectful workplace culture that does not accept any form of discrimination, harassment, sexual harassment or bullying.
PART A: CODE OF PRACTICE FOR THE LIVE PERFORMANCE INDUSTRY

1. ABOUT THIS CODE OF PRACTICE

1.1 What is the purpose of this code of practice?

This code of practice has been prepared to support the live performance industry to prevent workplace discrimination, harassment, sexual harassment and bullying. It affirms our industry’s commitment to providing safe, respectful, inclusive and flexible workplaces.

This code of practice provides:

- An overview of the relevant legislative framework and obligations;
- Best practice guidance on steps your organisation can take to effectively prevent and respond to discrimination, harassment, sexual harassment and bullying in the workplace;
- Check-lists to assess your organisation’s current policies and procedures; and
- Templates to assist your organisation develop the following policies and procedures:
  - Workplace discrimination, harassment, sexual harassment and bullying policy;
  - Complaint handling and investigation procedure;
  - Complaint form; and
  - Code of conduct: workplace discrimination, harassment, sexual harassment and bullying.

1.2 What is the legal status of this code of practice?

This code of practice is not and does not seek to be a binding legal document. This code of practice is not incorporated as a term of any contract and creates no rights enforceable by a worker against an employer. Nothing in this code of practice negates your obligations as an employer under any relevant federal or state/territory laws.

It provides general information only and is not intended to be legal advice. You should confirm the legal requirements that apply to your organisation and seek legal advice about your specific situation as required.

1.3 Who does this code of practice apply to?

It is in the best interests of all industry participants to abide by this code. This code of practice can be used by employers operating in Australia that employ or engage workers in the live performance industry.

The live performance industry includes:

- Commercial and independent producers;
- Promoters (music, comedy, etc.);
- Performing arts companies;
Venues – such as performing arts centres, metropolitan and regional venues, commercial theatres, stadiums, arenas and cinemas;

Arts festivals;

Music festivals; and

Service providers such as ticketing companies, technical suppliers and exhibition companies.

These can range from small to medium and not-for-profit organisations to large commercial entities.

This code is intended to cover workers in the live performance industry which include:

- Company owners and board members;
- Leadership and management personnel (e.g. producers, promoters, CEOs, executive directors, artistic directors, general managers, company managers, heads of department, human resources managers, managers, supervisors);
- Production and venue personnel (e.g. actors, dancers, directors, choreographers, writers, stage management, chaperones, technical crew, front of house);
- Full-time, part-time, seasonal and casual employees;
- Job candidates, including people auditioning for roles;
- Student placements, apprentices, work experience students/interns;
- Contractors, sub-contractors and secondees; and
- Volunteers or anyone undertaking unpaid work.

While casting, talent and freelance agents are not bound to follow this code unless they have been contracted or sub-contracted for a specific purpose by an employer, LPA expects that all casting, talent and freelance agents will abide by this code to assist in promoting an industry that is free from discrimination, harassment, sexual harassment and bullying.

1.4 Is it mandatory for LPA members to abide by this code of practice?

Yes. As a condition of LPA membership, all members must abide by all LPA codes and guidelines. To meet this obligation in respect of this code, LPA members are to develop and implement workplace policies and procedures addressing discrimination, harassment, sexual harassment and bullying which are appropriate to their organisation.

This code of practice is presented in general terms so that it can be applied across a diverse range of organisations. LPA members should tailor their workplace policies and procedures to suit their individual circumstances.
2. LEGAL FRAMEWORK AND OBLIGATIONS

2.1 What is the law on discrimination, harassment, sexual harassment and bullying?

There are specific laws relating to discrimination, harassment, sexual harassment and bullying. These laws intersect with WHS laws, which cover both physical and psychological health.

Unless an exception applies, employers and workers must comply with both federal and relevant state/territory laws that prohibit discrimination, harassment, sexual harassment and bullying in the workplace.

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Employers may also have obligations under other relevant laws, such as privacy, defamation, industrial and criminal laws.

2.2 What are an employer’s legal obligations?

The obligations imposed on employers are set out in the relevant legislation. However, in summary, the anti-discrimination legislation makes it unlawful for an employer to discriminate against a worker in their employment on the basis of their age, disability, race, gender, marital status and responsibilities as a carer. It is also unlawful for an employer to subject a worker to sexual harassment. There are also laws that deal with preventing bullying in the workplace.

In addition, the WHS laws impose a duty on an employer to protect the health (both physical and psychological), safety and welfare of all workers at work and of other people who might be affected by
the work. WHS laws require a proactive and preventative approach to managing health and safety risks in the workplace.

Employers, no matter how big or small, can be held legally responsible for acts of discrimination, harassment, sexual harassment or bullying that occur in the workplace or in connection with a person’s employment. This is known as vicarious liability.

The conduct for which an employer can be held vicariously liable includes but is not limited to:

- Incidents that occur:
  - at work – such as in rehearsals, performances, on production tours;
  - while travelling for work;
  - at work-related functions – such as opening nights, after parties, Christmas parties, conferences, industry events; and
  - outside of work where there is a connection to the workplace; and

- Incidents involving the use of digital communication, for example:
  - Text messages;
  - Social media posts; and
  - Emails that have a connection to the workplace.

For an employer to be vicariously liable for the actions of a worker, the actions will usually need to have been authorised (whether expressly or implied) by the employer or be so closely linked to an authorised act that it is considered part of the act. However, where the employer can demonstrate that they have taken ‘all reasonable steps’ to prevent the discrimination, harassment, sexual harassment or bullying from occurring, the employer may not be held vicariously liable.

An individual who discriminates against, harasses, sexually harasses or bullies others in the workplace will remain directly liable for their own actions.

As to what constitutes taking ‘all reasonable steps’ will vary from organisation to organisation, there is no defined set of steps to take. However, as a guideline, it is expected that an employer would:

- Have appropriate workplace discrimination, harassment, sexual harassment and bullying policies, which are effectively implemented, monitored and communicated to all workers;
- Take appropriate action to eliminate or minimise, as far as is reasonably practicable, risks to health and safety before they occur; and
- Take appropriate remedial action if discrimination, harassment, sexual harassment or bullying does occur.

For further information on how to take reasonable steps to effectively prevent and respond to discrimination, harassment, sexual harassment and bullying refer to Section 4.
BOX 1: HOW WILL ‘ALL REASONABLE STEPS’ BE DETERMINED?

Anti-discrimination laws do not define ‘all reasonable steps’ because what may be ‘reasonable’ for one organisation may not be ‘reasonable’ for another organisation. Instead, it is considered on a case-by-case basis.

The key point is that employers must take active steps to minimise the risk of discrimination, harassment, sexual harassment or bullying in their workplace.

When deciding what level of preventative action is reasonable, an employer should consider:

- The size, structure and available resources of the employer;
- The type and nature of the work undertaken by the employer;
- The mix of workers, including women, young and older workers, people with disabilities, First Nations people and people from culturally and linguistically diverse backgrounds;
- The culture of the workplace;
- Previous incidents of workplace discrimination, harassment, sexual harassment or bullying;
- Levels of worker supervision;
- Relevant provisions in industrial awards or agreements; and
- Any other relevant factors, such as working hours, geographic isolation, live-in arrangements, touring or duties which require working in close physical proximity with others.

Under certain laws, an employer must report serious incidents to the regulator or police. In some jurisdictions, there are mandatory reporting requirements if a criminal offence is committed against a child or young person1 and WHS laws require employers to notify the regulator as soon as they become aware of a death, serious injury or illness, or dangerous incident.

If a worker makes a complaint which may constitute a criminal offence, and there is no mandatory requirement for the employer to refer the matter to the police, an employer should:

a. ask the worker if they wish to report the matter to the police;

b. enquire whether the worker would like access to counselling or other supports;

c. if appropriate, consider whether it is necessary to implement any changes to the workplace to provide the worker with a safe work environment while the complaint is being investigated;

d. seek details of the complaint from the worker, so that the complaint can be investigated in accordance with the usual process for investigating a complaint of unacceptable workplace behaviour; and

e. deal with the matter as promptly and confidentially as possible.

Please also refer to section 2.4 for further information on dealing with criminal offences.

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1 In Victoria, mandatory reporting applies when an adult has a reasonable belief that a sexual offence has been committed against a person under 16 years of age. In the Northern Territory, mandatory reporting applies when anyone has reasonable grounds to believe that a child has suffered or is likely to suffer sexual, physical or psychological abuse.
Beyond the legal ramifications, failing to effectively deal with discrimination, harassment, or sexual harassment in the workplace can result in significant negative impacts for employers including increased absenteeism, poor performance and lack of motivation, negative workplace culture and relationships, and reputational damage.

A worker who has been discriminated against, harassed or sexually harassed may be able to obtain an order for compensation against:

- The person who has engaged in the unwelcome behaviour;
- The person who has caused, instructed, induced, aided or permitted another person to discriminate, harass, sexually harass or bully another person; and
- The employer, unless it can establish that it took all reasonable steps to prevent the harassment from occurring.

It is important to note that the Fair Work Commission can issue an order for bullying to stop within a workplace, but cannot make an order for compensation in respect of a complaint of bullying.

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**BOX 2: A NUMBER OF LESSONS CAN BE LEARNED FROM CASE LAW**

*Lulham v Shanahan & Ors [2003] QADT 11*

- Mr Lulham, a young male apprentice boiler maker, was subject to continual teasing over a period of six years including simulated sexual acts and accusations of paedophilia and homosexuality.
- The employer had no formal policies or procedures in place— simply an ‘open door’ policy, where employees were encouraged to raise grievances with management. This was held to be insufficient and the employer was held to be vicariously liable for the sexual harassment by the two perpetrators — $26,000 compensation was ordered.

*Lesson: Policies and procedures on bullying and harassment are essential. It is insufficient for a workplace simply to informally deal with complaints as they arise.*

*Sammut v Distinctive Options Ltd [2010] VCAT 1375*

- Distinctive Options (DO), a small not-for-profit organisation, employed Mr Sammut as a disability case manager. Mr Sammut claimed sexual harassment in relation to a colleague’s persistent attempts to hug him. Although Mr Sammut did not lodge a formal complaint with DO, it was made clear to the colleague on a number of occasions that this physical contact was unwelcome. It was well known within DO that the complainant did not like to be touched, because he felt that this would jeopardise his relationship with his wife. The colleague persisted with this behaviour and was not deterred until Mr Sammut told her to stop in front of another employee.
- Whilst the employer had sexual harassment policies and procedures in place and employees were aware of them, VCAT found this was not sufficient because DO’s management did not understand the policy properly, did not act in accordance with the policy and did not take complaints related to the policy seriously.
- The Tribunal’s adjudicator said: ‘My view is that it was not enough that DO had policies in place. It was reasonable to ensure that management understood them, acted in accordance with them, and took seriously complaints made about matters covered by the policies. Reasonable precautions would probably also include ensuring employees had a sufficient understanding, of what those
policies meant in practice to recognise issues with their own conduct. Even in a small organisation, this is not too much to ask.’

- It was held that the employer had not taken reasonable steps and was vicariously liable for the sexual harassment committed by its employee – $2,000 compensation was ordered.

**Lesson:** It is inadequate simply to possess workplace policies. All employees and particularly managers must fully understand and adhere to them, even in small organisations.

*Richardson v Oracle Corporation Australia Pty Ltd* [2013] FCA 102

- A senior male employee of Oracle Corporation (Oracle) was found to have sexually harassed a female colleague over a period of several months.

- Comments included:
  - ‘Gosh, Rebecca, you and I fight so much ... I think we must have been married in our last life’;
  - ‘So, Rebecca, how do you think our marriage was? I bet the sex was hot’; and
  - ‘We should go away for a dirty weekend sometime’.

- The comments resulted in the victim withdrawing from a project she was working on at the time in order to distance herself from the perpetrator.

- Ultimately, the victim was unsatisfied with HR’s resolution of the complaint and resigned.

- Oracle was found vicariously liable for the sexual harassment. While it had policies and procedures relating to sexual harassment and even provided training, there was no reference to the relevant legislation prohibiting sexual harassment, nor even a clear statement that sexual harassment was against the law.

- $18,000 compensation was ordered, which was increased to $130,000 on appeal. This increase was partly to reflect changing community expectations of the hurt and humiliation caused by sexual harassment.

**Lesson:** Workplace policies must clearly state that all forms of discrimination, harassment, sexual harassment and bullying are against the law, outlining the relevant legal standards.

### 2.3 Shared responsibilities

The live performance industry is highly collaborative, and it is common for workers from one organisation to work alongside workers from another organisation in the same workplace (e.g. production staff working with venue staff). In this context, there may be several people/employers who have the same responsibility to ensure the health and safety of workers. The WHS laws require those people/employers with shared responsibilities to work together to meet their responsibilities and obligations. For example, this may require employers with shared responsibility to:

- consult and cooperate with each other to agree on the standards of behaviour expected in the workplace; and

- develop an agreed approach for reporting and conducting investigations, if unacceptable or unlawful workplace conduct occurs between two (or more) workers from different organisations.
2.4 Criminal offences

Unacceptable or unlawful workplace conduct may also constitute a criminal offence

What constitutes a criminal offence may vary from state to state, depending on the legislation in effect. However, some examples of conduct which will usually be considered to be a criminal offence include, but are not limited to: physical assault, sexual assault, stalking or cybercrime, which is where a carriage service is used to menace, harass or cause offence. This can include conduct that occurs over the phone, in text messages or online.

If you require specific information about whether unacceptable workplace conduct constitutes a criminal offence in a particular state, we recommend that you seek legal advice on this issue.

Employer obligations where a complaint is made which may involve a criminal offence

- There is no mandatory requirement to refer a matter to the police when a person makes an allegation of workplace conduct that may also constitute a criminal offence. However, there are mandatory reporting requirements in some jurisdictions (i.e. in Victoria and the Northern Territory) when a criminal offence is committed against a child or young person.

- Where a worker makes a complaint and the behaviour could be considered to be a serious offence, you should enquire whether the worker wishes to refer the matter to the police as well as have it dealt with internally.

- A worker should not in any way be discouraged from making a complaint to the police, as concealing a serious indictable offence can be a criminal offence. If a worker wishes to report a matter to the police, the worker should be provided with appropriate support to do so.

- Although there is no requirement to inform the police where a worker makes a complaint which may involve criminal conduct, there is still an obligation to protect the health and safety of the worker at work and deal with the worker’s complaint.

Investigating a complaint if the conduct has been reported to the police or involves a potential criminal offence

- While a complaint may have been made to the police about the behaviour, this does not mean that the employer no longer has responsibility to deal with the complaint.

- A criminal investigation is separate and independent to any investigation conducted by the employer.

- A criminal investigation will also have a different standard of proof (i.e. beyond reasonable doubt) for the conduct being investigated.

- If a complaint is made to the police and the police decide not to investigate the matter further, this does not mean that the employer should not proceed with their own investigation as there are many reasons why the police may decline to pursue a complaint.

- Where an employer is made aware of unacceptable workplace conduct, the employer should take steps to investigate the alleged conduct and, where appropriate, take action to address the conduct or put in place measures to reduce the risk of further conduct. This is consistent with an employer's obligation under WHS laws to, as far as reasonably practicable, provide a safe workplace and prevent risks to the health and safety of its workers.
2.5 Historical information

There may be instances when an employer receives information about a worker asserting that the worker has previously engaged in inappropriate behaviour. In the absence of a complaint about the worker’s conduct or a display of inappropriate behaviour during the course of their current employment, an employer is not required to take any action in relation to the information and should not do so. Taking any action to investigate or speak with a worker, based on information the employer has received about a worker’s previous employment, may result in the worker making a complaint against the employer.

Where an employer has in place proper policies and procedures, has provided all its workers with training on appropriate workplace behaviour and how to address any inappropriate behaviour and continues to monitor the workplace for risks to health and safety, this will assist in identifying and addressing any issues as they arise.

If an employer becomes aware that its workers are gossiping about another person in the workplace, the workers should be advised that gossiping is not acceptable in the workplace and may constitute bullying.
3. DEFINITIONS

3.1 Discrimination

Discrimination is treating, or proposing to treat, someone unfavourably because of a personal characteristic protected by law, such as sex, age, race or disability.

Personal characteristics protected under federal discrimination law include, but are not limited to:

- A disability, disease or injury, including work-related injury;
- Parental status or status as a carer;
- Race, colour, descent, national origin or ethnic background;
- Age;
- Gender, gender identity;
- Sexual orientation;
- Industrial activity;
- Religion;
- Pregnancy and breastfeeding;
- Marital status;
- Political opinion;
- Social origin;
- Medical record; and
- Associating with someone who has, or is assumed to have, one of these characteristics, such as being the parent of a child with a disability.

It is also against the law to treat someone unfavourably because you assume they have a protected personal characteristic or may have it at some time in the future.

Discrimination can be either direct or indirect:

- **Direct discrimination** is usually easy to identify and occurs when a person or group of people with a particular protected characteristic (actual or assumed) are treated less favourably because of that characteristic.
- **Indirect discrimination** is less obvious and occurs when a policy or practice appears neutral, yet has the effect of discriminating against those with a particular protected characteristic.

**BOX 3: EXAMPLES OF DIRECT AND INDIRECT DISCRIMINATION**

**Direct**
- An employer refusing to hire someone based on their age.
- A worker harasses another because of their race.
Indirect

- A policy of only offering bonuses to full time workers risks indirect discrimination on the basis of sex, as a greater proportion of part time workers are female.

What is not discrimination?

In certain circumstances, it will not be unlawful discrimination to treat workers differently because of a protected personal characteristic. The main exceptions are where the discriminatory act or practice:

- Is necessary to comply with other legislation;
- Is taken because the complainant cannot perform the inherent (essential) requirements of their job, even where reasonable adjustments are made;
- Is a genuine occupational requirement (an exemption may be required, depending on the law in the relevant state or territory);
- Is necessary to protect health and safety; or
- Is permitted because an exemption or ‘special measure’ applies (e.g. affirmative action recruitment programmes open only to Aboriginal and Torres Strait Islander peoples).

3.2 Harassment

Harassment is **unwelcome and unsolicited behaviour** that a **reasonable person would consider to be offensive, intimidating, humiliating or threatening**.

Harassment is prohibited by anti-discrimination legislation where the behaviour targets an individual or group because of a protected characteristic (such as age, sex or race, as outlined above). Harassment that is not related to a protected characteristic is still inappropriate in the workplace and should be dealt with accordingly.

Harassment can be physical, spoken or written. It can include, but is not limited to:

- Intimidation, verbal abuse, or repeated threats or ridicule;
- Sending offensive messages by text, email or other means;
- Derogatory comments;
- Display of offensive materials, pictures, comments or objects;
- Ridiculing someone because of their accent or English-speaking ability;
- Telling offensive jokes or making practical jokes based on a protected characteristic;
- Belittling or teasing someone based on a protected characteristic; and
- Isolating, segregating or humiliating someone because of a protected characteristic.
3.3 Sexual harassment

Whilst the definition varies slightly according to the jurisdiction, generally sexual harassment occurs where a person engages in unwelcome conduct of a sexual nature in circumstances where a reasonable person would be offended, humiliated or intimidated.

Sexual harassment can be physical, spoken or written. It can include, but is not limited to:

- Staring or leering at a person or parts of their body;
- Excessive or unwelcome familiarity or physical contact, such as touching, hugging, kissing, pinching, massaging and brushing up against someone;
- Suggestive comments, jokes, conversations or innuendo;
- Insults or taunts of a sexual nature or obscene gestures;
- Intrusive questions or comments about someone’s private life;
- Displaying or disseminating material such as posters, magazines or screen savers of a sexual nature;
- Making or sending sexually explicit phone calls, emails or text messages;
- Inappropriate advances on social networking sites;
- Accessing sexually explicit internet sites in the presence of others;
- Unwelcome flirting, requests for sex or repeated unwanted requests to go out on dates;
- Inappropriate or unwanted gifts; and
- Behaviour that may also be considered to be an offence under criminal law, such as physical or sexual assault, indecent exposure, stalking or obscene communications.

If someone does not object to inappropriate behaviour in the workplace at the time, it does not mean that they are consenting to the behaviour.

Behaviour can constitute sexual harassment even if:

- it is a one-off incident;
- the person engaging in the behaviour does not intend for the other person to be offended, humiliated or intimidated;
- some people in the workplace are not offended by the behaviour; or
- the behaviour was previously an accepted practice in the workplace.

**What is not sexual harassment?**

Sexual interaction, flirtation, attraction or friendship, which is invited, mutual, consensual or reciprocated is not sexual harassment.
3.4 Workplace bullying

Workplace bullying is where an individual or group of individuals repeatedly behave unreasonably to another person or group of persons at a workplace, which creates a risk to health and safety.

Bullying can take many forms. It can be physical, spoken, written, overt or covert. Behaviours that may constitute bullying include, but are not limited to:

- Physical intimidation or abuse;
- Aggressive or intimidating conduct or threatening gestures;
- Manipulation, intimidation or coercion;
- Threats, abuse, offensive language, shouting or belittling;
- Innuendo, sarcasm and other forms of demeaning language;
- Ganging up;
- Public humiliation or belittling;
- Initiation activities;
- Practical jokes, teasing, or ridicule;
- Isolation, exclusion or ignoring people;
- Inappropriate blaming;
- Inappropriate emails/pictures/text messages;
- Unreasonable accusations or undue unconstructive criticism;
- Allocating unpleasant, meaningless or impossible tasks;
- Placing unreasonably high work demands on selected workers;
- Deliberately withholding information, resources, support, supervision or consultation that a person needs to do their job or access their entitlements;
- Unreasonable refusal of requests for leave, training or other workplace benefits;
- Withholding access to opportunities;
- Deliberately changing hours of work for a person or group to inconvenience them; and
- Unreasonable timelines or constantly changing deadlines targeted at a specific person or group.

Bullying may also constitute unlawful harassment or discrimination, if it is connected to a protected characteristic, such as age or race.

The live performance industry is creative and continually strives for artistic excellence. Achieving such artistic outcomes often involves ‘pushing the boundaries’. All workers in this process should behave in a manner that is professional, appropriate and does not constitute harassment or bullying.
What is not bullying?

Bullying is not:

- Single incidents of inappropriate behaviour (although it may still constitute harassment or worker misconduct);
- Reasonable management action undertaken in a reasonable manner, such as:
  - Employer directions (e.g. providing instructions on the way work is to be carried out);
  - Performance management processes (e.g. providing feedback on a worker’s performance);
  - Disciplinary action; or
  - Maintaining reasonable workplace standards; or
- Differences of opinion or disagreements (e.g. differences of opinion on the artistic interpretation or direction of a production). People can have differences or disagreements in the workplace without engaging in repeated, unreasonable behaviour that creates a risk to health and safety.

3.5 Vilification

Vilification is any **public act that incites hatred, serious contempt, or severe ridicule** against another person or group of people due to their race (including ethno-religious origin), homosexuality, transgender status or HIV/AIDS status.

Vilification is unlawful in all states and territories other than the Northern Territory.

3.6 Victimisation

Victimisation is **subjecting or threatening to subject someone to a detriment** because they have asserted their rights under equal opportunity law, made a complaint, helped someone else make a complaint, or refused to do something because it would be discrimination, harassment, sexual harassment or bullying.

It is also victimisation to threaten someone (such as a witness) who may be involved in an investigation of a complaint.

Victimisation is unlawful in all states and territories.

Any form of retaliation taken against a worker who has made a complaint should be reported and investigated immediately, with appropriate disciplinary action taken where the conduct is proven.
4. PREVENTING AND RESPONDING TO DISCRIMINATION, HARASSMENT, SEXUAL HARASSMENT AND BULLYING

4.1 Develop a discrimination, harassment, sexual harassment and bullying policy

All employers, whatever their size, need to develop a written policy that:

1. States their commitment to providing a safe, respectful, inclusive workplace free from discrimination, harassment, sexual harassment and bullying;
2. Makes it clear that any form of discrimination, harassment, sexual harassment and bullying is unlawful in the workplace; and
3. Clearly outlines their procedure for dealing with complaints in a fair, timely, confidential and responsive manner.

Tips and resources

If you already have a policy in place, the checklist in the appendix may be a useful tool to assess whether it meets current best practice standards.

If you don’t have a policy in place, a template is available in the Best Practice Templates and Resources in Part B.

4.2 Develop a complaint handling investigation procedure

A complaint handling and investigation procedure should outline a process for dealing with complaints that is:

- **Fair** – This means that both the person complaining (the complainant) and the person the complaint is about (the respondent) have the opportunity to present their version of events, provide supporting information and respond to any potential negative decisions. In addition, the person investigating and/or making decisions about the complaint should be impartial – they should not favour the complainant or the respondent or prejudice the complaint in any way.

- **Confidential** – This means that information about a complaint is only provided to those people who need to know about it, in order for the complaint to be actioned properly.

- **Transparent** – The complaint process and the possible outcomes of the complaint should be clearly explained and those involved should be kept informed of the progress of the complaint and the reasons for any decisions.

- **Accessible** – The complaint process should be easy to access and understand, and everyone should be able to participate equally.

- **Efficient** – The complaint process should be conducted without undue delay. As time passes, information relevant to the complaint may deteriorate or be lost, which will impact on the fairness of the process. In addition, unresolved complaints can have a negative and ongoing impact on a workplace.
• **Supported** – if the complainant or respondent request the assistance of a support person during the complaint process, such a request should be carefully considered by the employer and only refused if the employer considers the request to be unreasonable, for example, the support person is a witness to the complaint.

The complaint process should have the following attributes:

- Protects workers from being victimised because they have made a complaint;
- Protects workers from vexatious and malicious complaints;
- Offers both informal and formal options;
- Provides clear guidance on internal investigation procedures and confidential record keeping;
- Advises a complainant that they can pursue the matter externally with the Fair Work Commission (bullying), Australian Human Rights Commission, a state or territory anti-discrimination body or, if it appears to be a criminal matter, the police; and
- Undergoes a regular review for effectiveness.

**Tips and resources**

*If you already have a complaint handling and investigation procedure in place, the checklist in the appendix may be a useful tool to assess whether it meets current best practice standards.*

*If you don’t have a procedure in place, a template is available in the Best Practice Templates and Resources in Part B.*

**4.3 Implement discrimination, harassment, sexual harassment and bullying policies**

Written policies on their own are not enough. A policy that is not implemented through communication, education and enforcement will be of little or no use in minimising risk and avoiding liability.

Meaningful implementation of workplace policies could be achieved by taking a combination of the following actions:

1. Explain the policies at worker inductions, tool box meetings, on the first day of rehearsals and prior to touring or travel or other appropriate occasions;
2. Email copies of the policy to all workers or make copies of the policies available for all workers in the workplace;
3. Provide appropriate training to workers, including workers (such as managers) responsible for implementing and enforcing the policy;
4. Display policies and posters on notice boards, in green rooms, dressing rooms, and rehearsal spaces;
5. Make workers aware that senior management endorse the policies and that all workers are required to comply with them;
6. ‘Check in’ with workers to provide a refresher or reminder of who the Contact Person is and their rights and obligations, standards of expected behaviour, and how complaints will be handled under the policy;

7. Keep clear and accurate records relating to safety, including worker training and the implementation of policies and procedures; and

8. Review policies regularly to ensure they are operating effectively and contain up to date information.

**Tips and resources**

*Practical tips on how to implement policies are available in the Best Practice Templates and Resources in Part B.*

### 4.4 Create and maintain a positive workplace environment

To provide for the safety and wellbeing of workers, it is imperative for employers to proactively create, lead and maintain a positive, inclusive and respectful workplace culture that does not accept any form of discrimination, harassment, sexual harassment or bullying. It is also imperative that employers take actions, as far as reasonably practicable, to eliminate or mitigate risks.

Creating a safe, respectful and inclusive workplace culture takes commitment, leadership and clear strategies.

**Tips and resources**

*Ideas on how to create a safe, respectful and inclusive workplace are available in the Best Practice Templates and Resources in Part B.*
5. SOURCES

Australian Human Rights Commission

- Good practice guidelines for internal complaint processes

Safe Work Australia

- Guide for preventing and responding to workplace bullying (May 2016)
- How to manage work health and safety risks: Code of practice (December 2011)
- Work health and safety consultation, co-operation and co-ordination: Code of practice (December 2011)

Screen Producers Australia (in partnership with Media, Entertainment & Arts Alliance)

- Australian Screen Industry Code of Practice: Discrimination, Harassment, Sexual Harassment and Bullying

Screen Australia

- Code of conduct to prevent sexual harassment
6. USEFUL LINKS AND RESOURCES

6.1 Factsheets

Australian Human Rights Commission

- Workplace discrimination, harassment and bullying

- A quick guide to workplace discrimination laws

- Vicarious liability

- Good practice guidelines for internal complaint processes

- A step-by-step guide for preventing discrimination in recruitment

- Racial discrimination

- Sex discrimination

- Sexual orientation, gender identity and intersex status discrimination

- Age discrimination

- Disability discrimination

- Other areas of workplace discrimination
• Recognising and responding to sexual harassment in the workplace: Information for employees

Fair Work Ombudsman

• Workplace discrimination

• Bullying and harassment

6.2 Guides

Australian Human Rights Commission

• Ending workplace sexual harassment: a resource for small, medium and large employers

• Effectively preventing and responding to sexual harassment: a code of practice for employers

• Effectively preventing and responding to sexual harassment: A Quick Guide

• Supporting workplaces to end workplace sexual harassment: A Guide for Small Businesses in Australia

Safe Work Australia

• Guide for preventing and responding to workplace bullying

6.3 Other resources

Know the Line - www.knowtheline.com.au

PART B: BEST PRACTICE TEMPLATES AND RESOURCES

TEMPLATES

1. Workplace discrimination, harassment, sexual harassment and bullying policy
2. Complaint handling and investigation procedure
3. Complaint form
4. Code of conduct: workplace discrimination, harassment, sexual harassment and bullying

OTHER RESOURCES

5. Check-lists to assess your current policies and procedures